

客戶財務狀況更新表格 (法團/機構)

Update of Client's Financial Information Form (Corporate/Institution)

致 To : (請選擇適用的公司 Please select applicable company)

- 浦銀國際投資管理有限公司 SPDB International Investment Management Limited /
 浦銀國際融資有限公司 SPDB International Capital Limited /
 浦銀國際證券有限公司 SPDB International Securities Limited

香港灣仔軒尼詩道 1 號浦發銀行大廈 33 樓

Website: www.spdbi.com

33/F, SPD Bank Tower, One Hennessy, 1 Hennessy Road, Hong Kong

Email: CS@spdbi.com

賬戶名稱 Account Name:	賬戶號碼 Account Number: 生效日期 Effective Date:
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本人/吾等要求浦銀國際更改本法團/機構之賬戶資料紀錄如下:

I/we request SPDBI to change the corporate/institution's account information as follows:

註: 請在適當 加上別號。Note: Please tick appropriate box .

I. 客戶財務狀況 Client's Financial Profile (*可選擇多於一項 can choose more than one categories)	
繳足股本 Paid up Share Capital	港幣 HKD: _____
年度營業額 (港幣) Annual Turnover (HKD)	<input type="radio"/> <\$5,000,000
	<input type="radio"/> \$5,000,000 - \$10,000,000
最新年度溢利 (港幣) Latest Annual Profits (HKD)	<input type="radio"/> <\$1,000,000
	<input type="radio"/> \$1,000,000 - \$5,000,000
資產淨值 (港幣) Total Net Worth (HKD)	<input type="radio"/> <\$1,000,000
	<input type="radio"/> \$8,000,001 - \$40,000,000
流動資產 (港幣) Liquid Assets (HKD)	<input type="radio"/> <\$1,000,000
	<input type="radio"/> \$8,000,001 - \$40,000,000
總財富來源 Source of Wealth	<input type="radio"/> 營業收入 Business Income
	<input type="radio"/> 出售物業 Sale of Property /Asset 物業類別 Property Type: _____ 獲利金額 Profit Amount HKD: _____
	<input type="radio"/> 租金收入 Rental Income 年度金額 Annual Amount HKD: _____
	<input type="radio"/> 投資回報 (如: 資產升值、對沖) Investment Return (e.g. Property Appreciated, Hedging) 資產類別 Investment Type: _____ 獲利金額 Profit Amount HKD: _____
	<input type="radio"/> 其他 (請註明) Others (please specify) 詳細內容 Details: _____ 獲得金額 Earned Amount HKD: _____
始創資金金額 Amount of Initial Funding for Start Up	港幣 HKD: _____
始創資金來源* Source of Initial Funding*	<input type="radio"/> 股東資金 Funding from Shareholder(s)
	<input type="radio"/> 關聯公司資金 Funding from Related Company
	<input type="radio"/> 其他 (請註明) Others (please specify): _____

賬戶資金來源* Source of Account Funds*	<input type="radio"/> 股東資金 Funding from Shareholder(s)		
	<input type="radio"/> 關聯公司資金 Funding from Related Company		
	<input type="radio"/> 業務利潤 Profits from Business		
	<input type="radio"/> 其他 (請註明) Others (please specify): _____		
賬戶資金來自* Account Funds come from*	<input type="radio"/> 支票 Cheque	<input type="radio"/> 銀行轉賬 Bank Transfer	<input type="radio"/> 電匯 Remittance
	<input type="radio"/> 香港 Hong Kong	<input type="radio"/> 中國 PRC	<input type="radio"/> 其他 Others: _____
賬戶資金來源地* Place of Source of Funds*			

II. 客戶聲明及確認 Client's Declaration and Confirmation

本人/吾等確認賬戶內的資金不是源於或涉及非法所得。

I/We confirm the funds in the Account are not derived from or involved in illegal activities.

本人/吾等謹此聲明以上提供之資料屬真實、完整及為正確無誤。本人/吾等承諾，如本人/吾等提供予浦銀國際的賬戶資料有任何的變更，須就該等變更立即通知浦銀國際。

I/We hereby declare that the information as set out in the above is true, complete and accurate. I/We undertake that I/we shall immediately inform SPDBI of any change to the account information provided.

本人/吾等明白及同意上述要求的更改須於上述擬生效日期或於浦銀國際處理此《客戶財務狀況更新表格》之日生效，以較遲者為準。一旦此《客戶財務狀況更新表格》得到處理，本人/吾等即授權浦銀國際替換本法團/機構先前在浦銀國際的一切紀錄。

I/We understand and agree that the requested changes stated above shall take effect on the above proposed effective date or on the date when SPDBI processes this Update of Client's Financial Information Form, whichever is later. Once this Update of Client's Financial Information Form has been processed, I/we authorise SPDBI to replace all the previous records of the corporate/institution maintained with SPDBI.

現收集到的個人信息會依照浦銀國際的收集個人資料聲明處理。(如適用)

The personal data herein collected will be handled in accordance with the Personal Information Collection Statement of SPDBI. (if applicable)

客戶簽署 Signature of Client



名稱(正楷) Name(in Block Letters)

(請用已提供之簽署式樣) (Please use the specimen signature provided)

日期 Date (日 DD/月 MM/年 YYYY) :

或 Or

證監會持牌人簽署 Signature of SFC's Licensee



姓名(正楷) Name(in Block Letters)

牌照號碼 CE no.

錄音日期(日/月/年)及時間

Date (DD/MM/YYYY) & Time of Phone Recording

本公司專用 For Office Use Only

Signature Verified by 簽署核證人	Input by 經辦人	Approved by 審批人	Checked by 校對人
Name 姓名:	Name 姓名:	Name 姓名:	Name 姓名:
Date 日期:	Date 日期:	Date 日期:	Date 日期: