

客戶資料更改表格 (個人/聯名)

Change of Particulars Form (Individual/Joint)

致 To: (請選擇以下適用的公司 Please select applicable company below)

- 浦銀國際證券有限公司 SPDB International Securities Limited (中央編號 CE#: BKW389)
 浦銀國際投資管理有限公司 SPDB International Investment Management Limited (中央編號 CE#: BFY108)
 浦銀國際融資有限公司 SPDB International Capital Limited (中央編號 CE#: BFZ375)

香港灣仔軒尼詩道1號浦發銀行大廈33樓

33/F, SPD Bank Tower, One Hennessy, 1 Hennessy Road, Hong Kong

Website: www.spdbi.com

除非本客戶資料更改表格中另有說明，否則以上選擇的公司在下文中稱為「浦銀國際」。Unless otherwise specified in this Change of Particulars Form, the company selected above is referred to below as "SPDBI".

賬戶名稱 Account name	賬戶號碼 Account No.
	生效日期 Effective Date

本人/吾等要求浦銀國際更改本人/吾等之賬戶資料記錄如下: I/we request SPDBI to change my/our account information as follows:

第1部份 Part 1 - 個人資料 Personal Information	
姓名# Name#	更改前姓名 Name before change 更改後姓名 New Name
新證件類別# Type of New Identity Document#	<input type="radio"/> 居民身份證 Identity Card <input type="radio"/> 護照 Passport <input type="radio"/> 其他 Others: _____
新證件簽發地區 Place of Issue	<input type="radio"/> 香港 Hong Kong <input type="radio"/> 中國 PRC <input type="radio"/> 其他 Others: _____
新證件有效期至 Valid Until	<input type="radio"/> 永久 Permanent <input type="radio"/> _____年YYYY_____月MM_____日DD
國籍# Nationality#	
# 請提供證明文件 Please provide supporting document	
住宅地址 Residential Address	請提供最近三個月內之住址證明副本，如銀行月結單或電費單。Please provide the copy of the address proof within the latest three months, e.g. electricity bill or bank statement for change of residential address
通訊地址 Correspondence Address	<input type="radio"/> 與住宅地址相同 Same as Residential Address <input type="radio"/> 其他地址 Other Address: _____
聯絡號碼 Contact Number	手提電話 Mobile:
	住宅電話 Home Tel:
電郵地址 E-mail Address	辦公室電話 Office Tel:
	傳真號碼 Fax No.:
遞送結單方式 Delivery Method	<input type="radio"/> 新增 Add
	<input type="radio"/> 取代 Replace
	<input type="radio"/> 取消 Delete
就業狀況 Work Status	<input type="radio"/> 電郵 By E-mail <input type="radio"/> 郵寄至通訊地址 By Post to Registered Address <small>*郵寄將收取行政及服務費用 Postal service will be subject administrative and service charges</small>
	<input type="radio"/> 受雇 Employed <input type="radio"/> 自雇 Self-employed <input type="radio"/> 家庭主婦 Housewife <input type="radio"/> 退休 Retired <input type="radio"/> 未有就業 Unemployed <input type="radio"/> 其他 Others: _____
僱主名稱 Name of Employer	
職位 Position	工作年期 Years of Service
辦公室地址 Office Address	

業務或工作性質 Business or Employment Nature (請選擇其中一項 Please choose any one)	<input type="radio"/> 金融及保險 Finance & Insurance	<input type="radio"/> 建築及工程 Construction and Engineering	<input type="radio"/> 通訊 Telecommunications
	<input type="radio"/> 公用事業 Utilities	<input type="radio"/> 房地產 Real Estate	<input type="radio"/> 酒店及旅遊 Hotel and Travel
	<input type="radio"/> 運輸、物流 Transportation & Logistics	<input type="radio"/> 法律及會計 Legal and Accounting	<input type="radio"/> 林業及農業 Forestry and Agriculture
	<input type="radio"/> 美容/保健服務 Beauty / Healthy Service	<input type="radio"/> 商業服務 Business Service	<input type="radio"/> 金屬、石油、天然氣之礦採或貿易商 Mining/Trading in Metals, Oil, Natural Gas
	<input type="radio"/> 零售 Retails (請注明 please specify_____)	<input type="radio"/> 製造 Manufacture (請注明 please specify_____)	<input type="radio"/> 批發/進出口 Wholesale/ Import Export (請注明 please specify_____)
	<input type="radio"/> 賭場及賭博相關的業務 Casino and Gambling Related Business	<input type="radio"/> 藝術、古董經銷商/拍賣行 Dealers in Art, Antique or Auction House	<input type="radio"/> 珠寶、寶石、貴金屬經銷商 Dealers in Jewel, Gem or Precious Metal
	<input type="radio"/> 娛樂場所 Entertainment	<input type="radio"/> 慈善及其他非牟利機構 Charity and other non-profit organizations	<input type="radio"/> 貨幣服務 (如: 貨幣兌換、匯款) Money Service (e.g. Money Exchange, Remitters)
	<input type="radio"/> 虛擬貨幣兌換 Virtual Currency Exchange	<input type="radio"/> 其他 (請說明) Others (please specify): _____	

財務資料 Financial Information

所住業權 Ownership of Residence	<input type="radio"/> 已抵押自置物業 Self-owned (Mortgaged)	<input type="radio"/> 無抵押自置物業 Self-owned (Not Mortgaged)	
	<input type="radio"/> 租用物業 Rental	<input type="radio"/> 宿舍 Quarters	
	<input type="radio"/> 與家人同住 Live with family member	<input type="radio"/> 其他 Others: _____	
年度薪金、佣金/獎金收入 (港幣) Estimated Annual Salary, Commission/Bonus Income (HKD)	<input type="radio"/> < \$250,000	<input type="radio"/> \$250,000 - \$500,000	<input type="radio"/> \$500,001 - \$1,000,000
	<input type="radio"/> \$1,000,001 - \$5,000,000	<input type="radio"/> \$5,000,001-\$10,000,000	
	<input type="radio"/> > \$10,000,00 請注明 please specify: _____		
年度其他非薪金、佣金/獎金的收入 (港幣) Annual Income other than Salary, Commission / Bonus Income (HKD)	<input type="radio"/> < \$250,000	<input type="radio"/> \$250,000 - \$500,000	<input type="radio"/> \$500,001 - \$1,000,000
	<input type="radio"/> \$1,000,001 - \$5,000,000	<input type="radio"/> \$5,000,001-\$10,000,000	
	<input type="radio"/> > \$10,000,00 請注明 please specify: _____		
上述持續非薪金、佣金/獎金收入來源* Ongoing Source(s) of Funds of the above other than Salary, Commission/Bonus Income*	<input type="radio"/> 營商收入 Business Income	<input type="radio"/> 退休金 Retirement Fund	<input type="radio"/> 投資回報 Investment Returns
	<input type="radio"/> 家人/遺產 Family or Inheritance	<input type="radio"/> 其他 Others: _____	
估計淨資產值 (港幣) Estimated Net Worth (HKD)	<input type="radio"/> < \$1,000,000	<input type="radio"/> \$1,000,000 - \$3,000,000	<input type="radio"/> \$3,000,001 - \$5,000,000
	<input type="radio"/> \$5,000,001 - \$10,000,000	<input type="radio"/> \$10,000,001 - \$50,000,000	
	<input type="radio"/> > \$50,000,000 請注明 please specify: _____		
流動資產淨值 (不含房產資產) (港幣)# Net Liquid Assets (Not include property asset) (HKD)#	<input type="radio"/> < \$500,000	<input type="radio"/> \$500,000 - \$1,000,000	<input type="radio"/> \$1,000,001 - \$3,000,000
	<input type="radio"/> \$3,000,001 - \$8,000,000	<input type="radio"/> \$8,000,001 - \$20,000,000	
	<input type="radio"/> > \$20,000,000 請注明 please specify: _____		
	#流動資產包括存款、債券、存款證、股票、基金等 Liquid assets include deposit, bonds, certificates of deposit, securities and funds etc.		
財富來源* Source of Wealth*	<input type="radio"/> 營商收入 Business Income	<input type="radio"/> 退休金 Retirement Fund	<input type="radio"/> 退休金 Retirement Fund
	<input type="radio"/> 投資回報 Investment Returns	<input type="radio"/> 饋贈/遺產 Gift or Inheritance	<input type="radio"/> 其他 Others: _____
賬戶資金來源* Source of Account Funds*	<input type="radio"/> 薪金、佣金/獎金 Salary, Commission / Bonus		<input type="radio"/> 非薪金、佣金/獎金 Other than Salary, Commission / Bonus
賬戶資金來自* Account Funds come from*	<input type="radio"/> 支票 Cheque	<input type="radio"/> 銀行轉賬 Bank Transfer	<input type="radio"/> 電匯 Remittance
賬戶資金來源地* Place of Source of Funds*	<input type="radio"/> 香港 Hong Kong	<input type="radio"/> 中國 China	<input type="radio"/> 其他 Others: _____

* 可選擇多於一項 can choose more than one categories


第2部份 Part 2 - 銀行戶口資料 Bank Account Information

<input type="radio"/> 新增銀行登記賬戶 Add Register Bank Account		<input type="radio"/> 更換銀行登記賬戶 Replace Register Bank Account	
銀行名稱 Bank Name			
銀行戶口號碼 Bank Account No.			
貨幣 Currency	<input type="radio"/> 港元 HKD	<input type="radio"/> 美元 USD	<input type="radio"/> 人民幣 CNY <input type="radio"/> 多種貨幣 Multi-Currency
地區 District	<input type="radio"/> 香港 HK	<input type="radio"/> 其他 Others: _____	
SWIFT 編碼 SWIFT Code			

第3部份 Part 3 - 電子交易服務 Electronic Trading Services
(只適用於浦銀國際證券有限公司 Only applicable to SPDB International Securities Limited)
(見該客戶協議第一部分「一般條款和條件」(「一般條款」)之定義)(as defined in Section I of the Client Agreement, headed General Terms and Conditions (the "General Terms"))

<input type="radio"/> 申請電子交易服務 Subscribe Electronic Trading Services
<i>本人 / 本公司已閱讀並明白一般條款中有關電子服務的條款並同意受該等條款約束，確認向浦銀國際申請電子服務。 I/We have read and understood the terms within the General Terms in relation to Electronic Services, and agree to be bound by the same. I/We confirm my/our subscription to the provision of Electronic Services.</i>
<input type="radio"/> 取消電子交易服務 Cancel Electronic Trading Services

第4部份 Part 4 - 客戶聲明與確認 Client's Declaration and Confirmation

1	本人/吾等聲明並確認，本人/吾等於本客戶資料更改表格所提供的資料均屬真實，完整及正確無誤。本人/吾等承諾，如本人/吾等提供予浦銀國際的資料有任何的變更，本人/吾等須就該等變更立即通知浦銀國際。I/We declare and confirm that all the information provided in this Change of Particulars Form is true, complete and accurate. I/We undertake that I/we shall immediately inform SPDBI of any change to the information provided.
2	本人/吾等明白及同意上述要求的更改須於上述擬生效日期或於浦銀國際處理此客戶資料更改表格之日生效，以較遲者為準。一旦此客戶資料更改表格得到處理，本人/吾等即授權浦銀國際替換本人/吾等先前在浦銀國際的一切紀錄。I/We understand and agree that the requested changes stated above shall take effect on the above proposed effective date or on the date when SPDBI processes this Change of Particulars Form, whichever is later. Once this Change of Particulars Form has been processed, I/we authorise SPDBI to replace all the previous records of me/us maintained with SPDBI.
3	現收集到的個人信息會依照浦銀國際的收集個人資料聲明處理。The personal data herein collected will be handled in accordance with the Personal Information Collection Statement of SPDBI.
客戶簽署 Signature(s) of Client(s) 日期 Date (日DD/月MM/年YYYY)  姓名(正楷) Name(s)(in Block Letters) <i>(請用已提供之簽署式樣 Please use the specimen signature provided)</i>	

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Signature Verified by 簽署核證人	Input by 經辦人	Approved by 審批人	Checked by 校對人
Name 姓名:	Name 姓名:	Name 姓名:	Name 姓名:
Date 日期:	Date 日期:	Date 日期:	Date 日期: